§1.41c

1.41b Delegation of authority to the Director of the Division of Trading and Markets and Director of the Division of Economic Analysis.

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(b) The Commission hereby delegates, until the Commission orders otherwise, the Director of the Division of Economic Analysis, with the concurrence of the General Counsel or his or her delegee, to be exercised by such Director or by such other employee or employees of the Commission under the supervision of such Director as may be designated from time to time by the Director, the authority to approve, pursuant to section 5a(a)(12)(A) of the Act and §1.41(b), contract market proposals, submitted pursuant to §5.2, to list additional trading months or expirations for, or to otherwise recommence trading in, a contract that is dormant within the meaning of §5.2.

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§1.41c Delegation of authority to the Director of the Division of Trading and Markets to receive notice of an emergency action.

The Commission hereby delegates authority to receive notification and explanation of a temporary emergency rule, notification of a physical emergency action, and a request for an extension of time for suspension of trading for a physical emergency, until the Commission orders otherwise, to the Director of the Division of Trading and Markets. This authority may be exercised by the Director or by another employee or employees of the Commission as may be designated from time to time by the Director.

[58 FR 26329, May 3, 1993]

§1.42 Delivery notice; filing of copy.

(a) Upon special call from the Commission or its designee, each contract market shall furnish or cause to be furnished promptly to the Commission for the futures or option contracts specified in the call a copy of each notice of delivery issued by any member thereof subject to the rules of such contract market, and shall also furnish or cause to be furnished promptly to the Commission a record of all endorsements of the original notice of delivery shown in the order in which such endorsements were made. For the purposes of this

paragraph the Commission hereby delegates to the Director of the Division of Economic Analysis, or to such other person designated by the Director, authority to issue calls for information contained in this section.

(b) Any contract market may provide the required delivery notice information on compatible data processing punched cards, magnetic tapes, magnetic discs, computer printouts, or other means: Provided, That the format and coding structure and the nature of the information contained thereon have been approved in writing by the Commission. A complete and accurate computer listing of any information supplied via data processing media must also be provided by an officer of the contract market at the time information via data processing media is supplied.

(c) For the purposes of this section, the term "delivery" includes the exercise of a commodity option on a physical but does not include any futures contract or option on a physical which is settled in cash rather than by delivery of the underlying commodity or underlying physical.

(Approved by the Office of Management and Budget under control number 3038–0020)

(Secs. 4g(1), 4i, 5(b), 8a(5), Commodity Exchange Act 7 U.S.C. 6g(1), 6i, 7(b), 12a(5) (Supp. V, 1975))

[41 FR 48112, Nov. 2, 1976, as amended at 42 FR 12375, Mar. 3, 1977; 46 FR 63035, Dec. 30, 1981; 47 FR 57009, Dec. 22, 1982; 52 FR 18910, May 20, 1987]

§1.43 Information required concerning warehouses.

Each contract market shall file upon request by the Commission a list of all warehouses, depositories and other similar entities, in which or out of which commodities are deliverable in satisfaction of futures contracts or options on physicals made on or subject to the rules of such contract market, which list shall show the name, location, and storage capacity of each such warehouse, depository or other similar entity, together with the name and business address of the operator thereof. Each contract market shall require the operator of such warehouse, depository or, other similar entity to furnish, upon request by the Commission, a